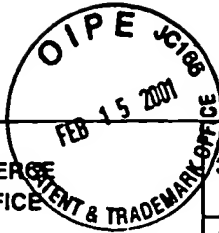


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(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
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SERIAL NO.  
09/651,140

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT: Lars Friedrich

FILING DATE: 08/31/00

GROUP: Unassigned

(Use several sheets if necessary)

(37 CFR 1.98(b))

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB	6	0	8	8	1	5	2	07/11/00	Berger, et al.	359	334	
AB	5	0	3	9	1	9	9	08/13/91	Mollenauer, et al.	359	334	
AB	5	4	0	6	4	0	4	04/11/95	DiGiovanni, et al.	359	161	
AB	6	0	5	2	2	1	9	04/18/00	Kidorf, et al.	359	334	
AB	5	8	8	3	7	3	6	03/16/99	Oshima, et al.	359	341	
AB	6	0	4	0	9	3	3	03/21/00	Khaleghi, et al.	359	124	
AB	5	2	2	5	9	2	2	07/06/93	Chrplyvy, et al.	359	124	
AB	5	9	5	9	7	5	0	09/28/99	Eskildsen, et al.	359	134	
AB	5	8	4	7	8	6	2	12/08/98	Chrplyvy, et al.	359	164	
AB	6	0	3	8	3	5	6	03/14/00	Kerfoot, III, et al.	385	24	
AB	6	1	1	5	1	7	4	09/05/00	Grubb, et al.	359	334	
AB												

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)

AB			A. M. Hill, et al., "Nonlinear Crosstalk Due to Stimulated Raman Scattering in a Two-Channel Wavelength-Division-Multiplexed System", Electronics Letters, Vol. 20, No. 6, 1984

EXAMINER

ABell

DATE CONSIDERED

9/16/03

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2
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**Complete if Known**

Application Number	09/651,140
Filing Date	August 30, 2006
First Named Inventor	Wenbin Jiang
Group Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	3918P002XX1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code² (if known)			
<i>AB</i>		3,870,396		Racki, et al.	03-11-1975	
<i>AB</i>		4,229,067		Love	10-21-1980	
<i>AB</i>		4,419,072		Smith, et al.	04-10-1979	
<i>AB</i>		5,519,190		Hohberg, et al.	10-27-1992	
<i>AB</i>		5,337,396		Chen, et al.	08-09-1994	
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<i>AB</i>		5,475,783		Kurashima	12-12-1995	
<i>AB</i>		5,528,408		McGinley, et al.	06-18-1996	
<i>AB</i>		5,537,391		Lebby	08-09-1994	
<i>AB</i>		5,546,281		Poplawski, et al.	08-13-1996	
<i>AB</i>		5,708,743		DeAndrea, et al.	01-13-1998	
<i>AB</i>		5,717,533		Poplawski, et al.	02-10-1998	
<i>AB</i>		5,734,558		Poplawski, et al.	03-31-1998	
<i>AB</i>		5,774,614		Gilliland, et al.	03-30-1998	
<i>AB</i>		5,778,127		Gilliland, et al.	07-07-1998	
<i>AB</i>		5,812,582		Gilliland, et al.	09-22-1998	
<i>AB</i>		5,812,717		Gilliland, et al.	09-29-1998	
<i>AB</i>		5,864,468		Popllawski, et al.	01-26-1999	
<i>AB</i>		5,879,173		Poplawski, et al.	03-09-1999	

## FOREIGN PATENT DOCUMENTS

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Examiner Signature	<i>A. B. Llo</i>
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Date Considered	9/18/03
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete If Known

Application Number	09/651,140
Filing Date	August 30, 2000
First Named Inventor	Wenbin Jiang
Group Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	3918P002XX1

Sheet 2 of 2

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
AB		R.T. CHEN and P.S. GULFOYLE (Eds.) Optoelectronic Interconnects and Packaging, Critical Reviews, Vol. CR62 (1996), Pgs. 48-63, 64-67, 229-243, 393-404, 405-414, 442-460, Spic Optical Engineering Press, Washington	
AB		R.G. HUNSPERGER, Integrated Optics: Theory and Technology (2nd Ed.), 1985, Pgs. 89-106, 236-245, Springer-Verlag Berlin Heidelberg, Germany	
AB		A. CHATAK and K. THYAGARAJAN, Introduction to Fiber Optics, 1998, Pgs. 411-413, 447-449, 467-473, Cambridge University Press, United Kingdom	
AB		R.C. DORF, Electrical Engineering Handbook, 1993, Pgs. 1682-1684, CRC Press, Inc., Florida	

Examiner Signature	ABell	Date Considered	9/16/03
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18  
Stylesheet Version v18.0

**Title of Invention**

Method and Apparatus for Parallel Optical Interconnection of Fiber  
Optic Transmitters, Receivers and Transceivers

Application Number: 09/651140 \*09/651140\*  
Confirmation Number: 1297  
First Named Applicant: Wenbin Jiang  
Attorney Docket Number: 3918P002XX1  
Art Unit: 2633  
Examiner: Agustin Bella  
Search string: ( 6024500 or 6213651 or 6369924 or 6457875 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<i>[initials]</i>	1	6024500	2000-02-15	Wolf,			
<i>[initials]</i>	2	6213651	2001-04-10	Jiang, , et al.			
<i>[initials]</i>	3	6369924	2002-04-09	Scharf, , et al.			
<i>[initials]</i>	4	6457875	2002-10-01	Kropp, , et al.			

**Signature**

Examiner Name	Date
<i>[Signature]</i>	4/12/05

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Sheet 2 of 6

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Application Number	09/651,140
Filing Date	08/30/00
First Named Inventor	Wenbin Jiang
Group Art Unit	2633
Examiner Name	
Attorney Docket Number	003918.P002X

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U.S. PATENT DOCUMENTS

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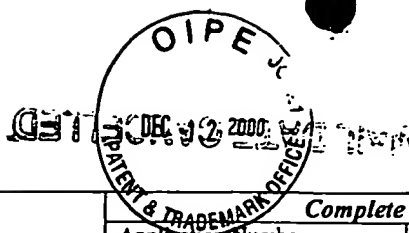
Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
AB	3,792,284	02/12/74	Kaelin			
AB	3,809,908	05/07/74	Clanton			
AB	3,976,877	08/24/76	Thillays			
AB	4,149,072	04/10/79	Smith, et al.			
AB	4,161,650	07/17/79	Caouette, et al.			
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AB	4,347,655	09/07/82	Zory, et al.			
AB	4,432,604	02/21/84	Schwab			
AB	4,446,515	05/01/84	Sauer, et al.			
AB	4,449,244	05/15/84	Kopainsky			
AB	4,461,537	07/24/84	Raymer, II, et al.			
AB	4,493,113	01/08/85	Forrest, et al.			
AB	4,533,209	08/06/85	Segerson, et al.			
AB	4,534,616	08/13/85	Bowen, et al.			
AB	4,535,233	08/13/85	Abraham			
AB	4,539,476	09/03/85	Donuma, et al.			
AB	4,542,076	09/17/85	Bednarz, et al.			
AB	4,549,782	10/29/85	Miller			
AB	4,549,783	10/29/85	Schmachtenberg, III			
AB	4,553,811	11/19/85	Becker, deceased et al.			

FOREIGN PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Country	Class	Sub-class	Translation Yes	Translation No
AB	0 652 696 A1	11/02/94	EPO				

Examiner Signature	<u>ABell</u>	Date Considered	<u>9/16/03</u>
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		Application Number	09/651,140		
		Filing Date	08/30/00		
		First Named Inventor	Wenbin Jiang		
		Group Art Unit	2633		
		Examiner Name			
Sheet	X 2	of	X 6	Attorney Docket Number	003918.P002XX1

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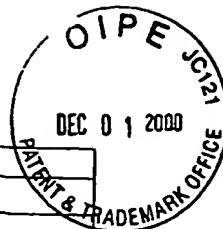
Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
AB	4,580,295	04/01/86	Richman			
AB	4,595,839	06/17/86	Braun, et al.			
AB	4,612,670	09/16/86	Henderson			
AB	4,625,333	11/25/86	Takezawa, et al.			
AB	4,647,148	03/03/87	Katagiri			
AB	4,720,630	01/19/88	Takeuchi, et al.			
AB	4,727,248	02/23/88	Meur, et al.			
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AB	4,881,789	11/21/89	Levinson			
AB	4,897,711	1/30/90	Blonder, et al.			
AB	4,913,511	04/03/90	Tabalba, et al.			
AB	4,945,229	07/31/90	Daly, et al.			
AB	4,979,787	12/25/90	Lichtenberger			
AB	4,986,625	01/22/91	Yamada, et al.			
AB	5,005,939	04/09/91	Arvanitakis, et al.			
AB	5,011,246	04/30/91	Corradetti, et al.			
AB	5,039,194	08/13/91	Block, et al.			

FOREIGN PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Country	Class	Sub-class	Translation Yes	No

Examiner Signature	AB	Date Considered	9/16/03
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Sheet

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of

X 6

**Complete if Known**

Application Number

09/651.140

Filing Date

08/30/00

**First Named Inventor**

**Wenbin Jiang**

### Group Art Unit

2633

**Examiner Name**

Attorney Docket Number

003918.P002XX1

## U.S. PATENT DOCUMENTS

## Technology Center 2600

Exam Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
AB	5,043,775	08/27/91	Lee			
AB	5,093,879	03/03/92	Bregman, et al.			
AB	5,099,307	03/24/92	Go, et al.			
AB	5,104,243	04/14/92	Harding			
AB	5,109,453	04/28/92	Edwards, et al.			
AB	5,117,476	05/26/92	Yingst, et al.			
AB	5,122,893	06/16/92	Tolbert			
AB	5,136,152	08/04/92	Lee			
AB	5,155,786	10/13/92	Ecker, et al.			
AB	5,168,537	12/01/92	Rajasekharan, et al.			
AB	5,202,943	04/13/93	Carden, et al.			
AB	5,241,614	08/31/93	Ecker, et al.			
AB	5,259,054	11/02/93	Benzoni, et al.			
AB	5,280,191	01/18/94	Chang			
AB	5,285,512	02/08/94	Duncan, et al.			
AB	5,289,345	02/22/94	Corradetti, et al.			
AB	5,295,214	03/15/94	Card, et al.			
AB	5,337,398	08/09/94	Benzoni, et al.			
AB	5,345,524	09/06/94	Lebby, et al.			

## FOREIGN PATENT DOCUMENTS

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**Examiner  
Signature**

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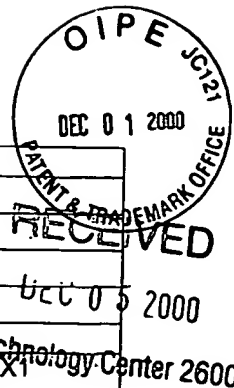
Date  
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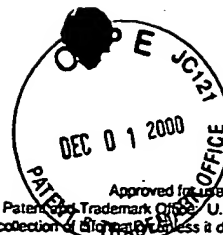
Examiner Signature		Date Considered	9/16/03
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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/651,140
				Filing Date	August 30, 2000
				First Named Inventor	Wenbin Jiang
				Group Art Unit	2633
				Examiner Name	Not Assigned
Sheet	8	of	8	Attorney Docket Number	003918.P0622XX

**OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)**

[illegible]

Examiner Signature	<i>Asilw</i>	Date Considered	9/16/03
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**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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